

# Regulations

## Heineken Prizes for Sciences



### Article 1 General

1. The Heineken Prizes for Sciences (the Heineken Prizes) were established between 1963 and 2006 by various Heineken funds to recognise international scientists who have distinguished themselves in the fields of Biochemistry and Biophysics, Historical Science, Medicine, Environmental Sciences and Cognitive Sciences.
2. Stichting Alfred Heineken Fondsen (the Foundation) makes the following five Heineken Prizes for Sciences available:
  - Dr H.P. Heineken Prize for Biochemistry and Biophysics (including microbiology and the physiology of seed germination)
  - Dr A.H. Heineken Prize for History
  - Dr A.H. Heineken Prize for Medicine
  - Dr A.H. Heineken Prize for Environmental Sciences
  - C.L. de Carvalho-Heineken Prize for Cognitive Sciences
3. The Heineken Prizes are awarded once every two years to five candidates (the Laureates), nominated and proposed according to these Regulations – Heineken Prizes for Sciences (the Regulations).

### Article 2 Nomination

1. Candidates eligible for nomination for the Heineken Prizes are those who:
  - are excellent, internationally recognised scientists;
  - are active scientists whose scientific work still holds considerable promise;
  - have an appointment at a university or research institute in the Netherlands or elsewhere.
2. The following persons are eligible to nominate candidates for the Heineken Prizes:
  - individual professors from universities in the Netherlands and elsewhere;
  - boards of management and deans of universities in the Netherlands;
  - directors of research institutes in the Netherlands;
  - academies and other scientific or scholarly institutions in the Netherlands and elsewhere.
3. Nominations must be submitted in English by using the nomination form made available by the Foundation at [www.heinekenprizes.org/](http://www.heinekenprizes.org/).
4. The completed nomination form with the requested appendices must be uploaded before the closing date set by the Foundation to an online cloud platform as indicated on the nomination form. If the nomination has not been submitted before this date, the nomination will not be processed.
5. A nomination is considered to have been submitted when the nominator receives confirmation of receipt.
6. Members of the board of the Foundation, members of the board of the Dr A.H. Heineken Stichting voor de Kunst, jury members and jury secretaries of the Heineken Prizes may not submit nominations jointly or individually and may not be nominated as candidates.

### Article 3 Task, composition and working method of the jury

1. The board of the Foundation shall appoint a jury for each Heineken Prize. One of the jury members will be appointed by the Foundation as chairperson of the jury (chair). Only persons who are professors at the time of their appointment are eligible for appointment as chair of a jury.
2. The jury members shall be appointed by the Foundation board for a maximum of three rounds of selection, utilizing a rotation schedule to ensure a balanced composition of both experienced and new jury members. Jury members (apart from the chair) shall

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- be nominated for appointment by the chair to the Foundation board. The Foundation shall establish a remuneration for the jury members.
3. Each jury shall consist of a minimum of five (5) members, including the chair.
  4. The jury's task is assessing the nominations for the Heineken Prizes mentioned in article 1 in accordance with the assessment criteria (see Article 4) and submitting a nomination for the Heineken Prizes to the Foundation board.
  5. A shortlist of candidates for the Heineken Prizes shall be drawn up at the first meeting of each jury. The jury then obtains referee reports on the candidates on the shortlist. At the second meeting of the jury, the proposed Laureates for the five Heineken Prizes are selected.
  6. Observers from external organisations are not permitted to attend jury meetings that are held for the purpose of allocating the Heineken Prizes.
  7. All decisions of the jury are taken by majority vote.
  8. Jury members must adhere to the Code of Conduct Governing Conflicts of Interest for the Heineken Prizes.
  9. Jury members of the Heineken Prizes may not provide information about the factual course of events to stakeholders or other parties, either during or after the assessment process.
  10. The members of the jury will perform their tasks in their own individual capacity. They will inform the jury secretary of any conflict that may limit their availability. In case of permanent conflict of agenda or in case of a conflict of interest, the Foundation may appoint alternates, nominated by the chair. Under no circumstances may the members of the jury designate a replacement to perform their duties.
  11. The Foundation shall make a jury secretary available to each jury.

#### Article 4 Assessment procedure

1. The candidates nominated for the Heineken Prizes shall be assessed by the jury according to the following criteria:
  - a. Internationally recognised scientific quality of the candidate, that quality being determined on the basis of the criteria commonly used to assess quality in the candidate's field of expertise, including (but not limited to):
    - the candidate's contribution to the development of their field of expertise, with particular regard to the candidate's contribution to cutting-edge research or publications;
    - memberships of national and international juries, visitation committees, scientific editorial boards, scientific academies or other relevant organisations;
    - national and international scientific recognition, including scientific prizes and grants awarded to the candidate;
    - other qualities, such as the way in which the candidate inspires young researchers, her/his contribution to knowledge valorisation and scientific communication.
  - b. The extent to which the candidate's scientific work holds significant promise for the future.
  - c. Besides the criteria set out in a. and b. above, the following additional criteria shall apply to individual prizes:
    - For the Dr A.H. Heineken Prize for Medicine: the candidate is credited with an achievement that has led or is expected to lead to important applications in medicine.
    - For the Dr A.H. Heineken Prize for Environmental Sciences: the candidate conducts research from the perspective of the natural sciences, the technical sciences or the humanities and social sciences.

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- For the Dr A.H. Heineken Prize for History: the candidate's research contains a connection to the present time and/or is relevant for present-day circumstances.
  - For the C.L. de Carvalho-Heineken Prize for Cognitive Sciences: the candidate has made a cross-disciplinary contribution to our knowledge and understanding of the mechanisms and processes that underpin the cognitive functions of humans and animals.
2. The jury will prepare a report on the assessment, including the grounds for selecting the candidates. The assessment report conforms to a set of predetermined criteria. The assessment report shall be submitted to the board of the Foundation as the nomination of the relevant jury, with the understanding that nominations may be revoked by the Foundation board in cases where the nominee's integrity is compromised, and the high standard of the Heineken Prize is jeopardized.

### **Article 5 Award and presentation**

1. The Foundation board shall decide on the award of the Heineken Prizes on the basis of the nominations of the jury.
2. The Heineken Prizes shall be awarded by the chair of the board of the Foundation or a substitute.
3. The Heineken Prizes shall be presented during a ceremony convened for this purpose.
4. The Foundation reserves the right to cancel the nomination and assessment procedure for (one of) the Heineken Prizes, or to abstain from awarding one or more Heineken Prizes.

### **Article 6 Prize money**

The Heineken Prizes for Sciences each consist of an amount of USD 250,000 (in words: two hundred and fifty thousand US dollars), to be spent freely by the Laureates. All taxes, and any other costs and expenses associated with receipt or use of the Heineken Prizes, are the sole responsibility of the Laureates.

### **Article 7 Conditions for accepting the Heineken Prize for Science**

1. The Laureates notably commit to:
  - participate in the ceremony week and all related events (interviews, presentations, dinner, visits, ceremony) that will be organized by the Foundation;
  - keep the announcement confidential until the official announcement and not contact the media before that date.
2. The Laureates agree to be photographed, filmed, and interviewed for Heineken Prizes related purposes. These photos, videos and texts will be used in publications and audiovisual means.

### **Article 8 Final provisions**

1. These Regulations come into effect from 1 November 2023.
2. The Foundation board will decide on all matters which arise which are not covered by these Regulations, including any disputes arising out of or in connection with the Regulations.